

09/30/03 16519 U.S. PTO

PATENT  
Docket No. 5095-4068

Express Mail Label No. EV 357801435 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

03940 U.S. PTO  
10/674492  
09/30/03

Applicant(s): Yoshifumi KATO

Serial No.: TBA

Group Art Unit: TBA

Filed: Concurrently Herewith

Examiner: TBA

For: LIGHT-EMITTING DEVICE, DISPLAY UNIT AND LIGHTING UNIT

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and  
Address(es): Yoshifumi KATO

c/o: KABUSHIKI KAISHA TOYOTA JIDOSHOKKI

2-1, Toyoda-cho, Kariya-shi, Aichi-ken 448-8671, Japan

For: LIGHT-EMITTING DEVICE, DISPLAY UNIT AND LIGHTING UNIT

Enclosed are:

[X] 36 page(s) of specification, 1 page(s) of Abstract, 7 page(s) of claims

[X] 5 sheets of drawing [X] formal (Figures 1-10B) [ ] informal

[X] 3 page(s) of Declaration and Power of Attorney

[X] Unsigned  
[ ] Newly Executed  
[ ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

- ☐ Microfiche Computer Program (Appendix)
- ☐ \_\_\_\_\_ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Claim for Priority (Claim to Convention Priority) is made to Japanese Application Nos. 2002-287026 and 2002-287025 both filed on September 30, 2002.
- ☒ A Certified copy of each of the Priority Document Nos. 2002-287026 and 2002-287025 are enclosed herewith.
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☒ Copies of the cited references, including Form PTO-1449
- ☐ Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ The amount of \$ 40.00 for recording the Assignment is included in the check for the filing fee.
- ☐ Assignment papers filed in parent application Serial No. \_\_\_\_\_.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.

- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_  
\_\_\_\_\_ filed \_\_\_\_\_.

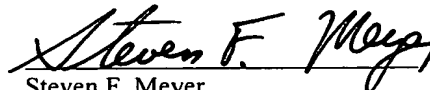
I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee \$ 750.00
Total Claims	23	-20 =	3	x \$ 18.00	\$ 54.00
Independent Claims	5	-3 =	2	x \$ 84.00	\$ 168.00
Multiple Dependent Claims			Additional Fee =		
	<input type="checkbox"/> yes		Add'l Fee =	\$ 280.00	\$ 000.00
	<input checked="" type="checkbox"/> no			NONE	

Total: \$ 972.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- ☒ A check in the total amount of \$972.00 is attached in payment of the application filing fee.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5095-4068. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,



Steven F. Meyer  
Registration No. 35,613

Dated: September 29, 2003

CORRESPONDENCE ADDRESS:

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**EXPRESS MAIL CERTIFICATE**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
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Express Mail Label No.: EV 357801435 US  
Date of Deposit: September 29, 2003

I hereby certify that the following attached paper(s) and/or fee:

1. Utility Application and Application Fee Transmittal;
2. Declaration unexecuted (3 pp.);
3. Claim to Convention Priority;
4. Certified Priority Documents 2002-287026 and 2002-287025;
5. Utility Application: Specification: 36 pp., Claims: 7 pp., Abstract: 1 pg., 5 Sheets of Drawings (Figs. 1-10B);
6. Information Disclosure Statement;
7. PTO-Form 1449 w/copies of all cited references;
8. A check in the amount of \$972.00 for the filing fee;
9. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Joan E Federici  
(Typed or printed name of person mailing papers(s) and/or fee)  
Joan E Federici  
(Signature of person mailing paper(s) and/or fee)

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